

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5076038		
<b>Application Number:</b>	10661696		
<b>International Application Number:</b>			
<b>Confirmation Number:</b>	7375		
<b>Title of Invention:</b>	System and methodology providing automation security analysis, validation, and learning in an industrial controller environment		
<b>First Named Inventor/Applicant Name:</b>	David D. Brandt		
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<b>Filer:</b>	David Matthew Noonan/Stacey Bussey		
<b>Filer Authorized By:</b>	David Matthew Noonan		
<b>Attorney Docket Number:</b>	03AB014C/ALBRP303USC		
<b>Receipt Date:</b>	02-APR-2009		
<b>Filing Date:</b>	12-SEP-2003		
<b>Time Stamp:</b>	16:48:12		
<b>Application Type:</b>	Utility under 35 USC 111(a)		

### **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$810

RAM confirmation Number	2108
Deposit Account	
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (SB/08)	US_IDS_Form__SB_08a.pdf	765106 fa54e82b5fb184a62872ba14e695a724ce52 94cd	no	4

### Warnings:

### Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	US_IDS_Form__SB_08a2.pdf	858735 ef9fdcc5dff55404963d65feb0dc0fdcbaf4e4 ba7	no	6
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### Warnings:

### Information:

3	NPL Documents	EP-B-OA-7-8-08.pdf	941082 3041d834ef4e20a9afc8084511c2383ec962 3b10	no	8
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### Warnings:

### Information:

4	NPL Documents	ROCKWELL-AUTOMATION-Plant-Floor-Security.pdf	17664960 077f50f3d82a1e3fc86ef5978da34befa54cd ac8	no	52
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### Warnings:

### Information:

5	NPL Documents	EP-C-OA-6-30-08.pdf	759503 c746ee7366d594adcc2f2e99330a9f885b5 2335	no	7
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### Warnings:

### Information:

6	NPL Documents	OA-dated-10-22-08.pdf	681123 b4d386885fb9f94419b1f3bed138737943 4a7a2	no	19
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### Warnings:

### Information:

7	NPL Documents	NPL-OAfromP303USB.pdf	1455473 7c67d8f27d29e9c527d49375f2799a0b659 d76e0	no	31
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### Warnings:

### Information:

8	Request for Continued Examination (RCE)	RCE.pdf	667355 75cb7c6b5c11b7566042e124b6ac4fe41d4 eb824	no	3
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<b>Warnings:</b>					
<b>Information:</b>					
9	Fee Worksheet (PTO-06)	fee-info.pdf	30438 ebbea6f3763151fb2e5493620827679ec9df58e1	no	2
<b>Warnings:</b>					
<b>Information:</b>					
			<b>Total Files Size (in bytes):</b>	23823775	
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b>New Applications Under 35 U.S.C. 111</b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b>National Stage of an International Application under 35 U.S.C. 371</b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b>New International Application Filed with the USPTO as a Receiving Office</b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					